



2164 # 11
BT
10-27-02

CF-019

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Philip M. Ginsberg et al.
Application No. 09/853,430 Confirmation No.: 6761
Filed : May 10, 2001
For : SYSTEMS AND METHODS FOR ANONYMOUS
ELECTRONIC TRADING
Group Art Unit : 2164

Hon. Commissioner for Patents
P.O. Box 2327
Arlington, Virginia 22202

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OCT 03 2002

GROUP 3600

TRANSMITTAL LETTER FOR
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:


Transmitted herewith is a Supplemental Information
Disclosure Statement in the above-identified application.
This Statement is submitted:

- ☐ within three months of the application filing date;
☒ more than three months from the application
filing date but before the mailing date of the
first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of
this Statement requires no fee. However, if for any reason a
fee is due, the Director is hereby authorized to charge
payment of any fees required in connection with this
Supplemental Information Disclosure Statement to Deposit

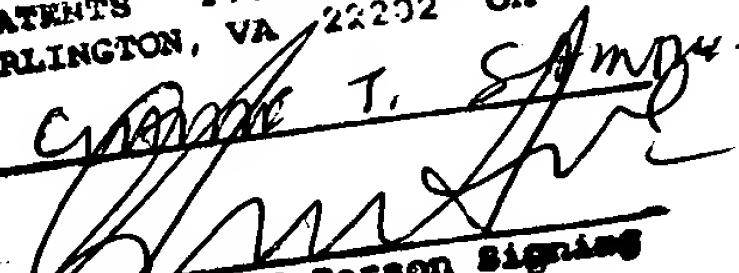
Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,



Jeffrey C. Aldridge
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicants wish to call the attention of the Examiner to the
following reference:

FOREIGN PATENTS

0 873 549 B1

EPO

(10/28/98)


A copy of the aforementioned reference, which is
listed on the accompanying Form PTO-1449 (submitted in
duplicate), is enclosed herewith.

It is respectfully requested that this reference be:
(1) fully considered by the Patent and Trademark Office during
the examination of this application; and (2) printed on any
patent which may issue on this application. Applicants

request that a copy of the Form PTO-1449, as considered and initialized by the Examiner, be returned with the next communication.

Consideration of the foregoing in relation to this patent application is respectfully requested.

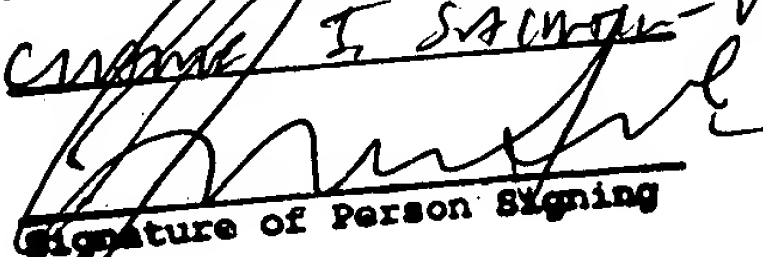
Respectfully submitted,



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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE
STATEMENT BY APPLICANTSATTY. DOCKET NO.
CF-019APPLICANTS
Philip M. Ginsberg et al.FILING DATE
May 10, 2001APPLICATION NO.
09/853,430CONFIRMATION NO.
6761GROUP
2164

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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GROUP 3600

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	0 873 549 B1	10/28/98	EPO	G06F	17/60		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.